

2152

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ralf Hofmann, Torsten Schulz, Bernd Eilers,
Thomas Pfohe
Assignee: Sun Microsystems, Inc.

Title: A COMPUTER-BASED PRESENTATION MANAGER AND METHOD
FOR INDIVIDUAL USER-DEVICE DATA REPRESENTATION

Serial No.: 09/759,744 Filed: January 12, 2001

Examiner: Unknwn Group Art 2152

Docket No.: P-4592 Unit:

RECEIVED

Monterey, CA

SEP 04 2002 August 22, 2002

ASSISTANT COMMISSIONER FOR PATENTS Technology Center 2100
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT UNDER §1.97 (b)

Sir:

Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98,
Applicant(s) wish to call the following documents (a copy of
each is enclosed) to the attention of the Examiner:

FOREIGN DOCUMENTS:

	DOCUMENT NUMBER	DATE	COUNTRY
1)	EP 0 908 832 A2	04/14/1999	EPO

YB

OTHER DOCUMENTS:

1) YB	Johnson, D., "Converting PC GUIs for NonPC Devices", Circuit Cellar Ink, Vernon, CT, vol. 91, February 1998, pages 40-42, 44-45, XP000852859.
2) YB	Jones, G. et al., "Web-based Messaging Management Using Java Servlets", Integrated Network Management VI. Distributed Management for the Networked Millennium. Proceedings of IM'99 6th IFIP/IEEE International Symposium, (CAT. No. 99EX302), Piscataway, NJ, pages 19-33, XP002166299.

2) <i>YB</i>	WO 98 53393 A1	11/26/98	PCT
3) <i>YB</i>	WO 98 24020 A2	06/04/98	PCT
4) <i>YB</i>	EP 0 908 832 A2	04/14/99	EPO
5) <i>YB</i>	WO 00 20985 A1	04/13/00	PCT
6) <i>YB</i>	EP 09 69389 A2	01/05/00	EPO

OTHER DOCUMENTS:

1) <i>YS</i>	Java™ 2 Platform Enterprise Edition Specification, Version 1.2, Final Release, Sun Microsystems, Inc., Palo Alto, CA, December 17, 1999.
2) <i>YB</i>	JavaServer Pages™ Specification, Version 1.1, Sun Microsystems, Inc., Palo Alto, CA, November 30, 1999.
3) <i>YS</i>	Java™ Servlet Specification, Version 2.2, Final Release Sun Microsystems, Inc., Palo Alto CA, December 17, 1999.
4) <i>YB</i>	Bickmore et al., "Web Page Filtering and Re-Authoring for Mobile Users," Computer Journal, Oxford University Press for British Computing Society, Vol. 42, No. 6, pp-534-546, 1999.
5)	Schmidt V. et al., "Erfahrungen Mit VDN Bei Der Uebersetzungskonstruktion, Experiences with VDM in Compiler Construction", Informationstechnik IT, de, Oldenbourg Verlag. Munchen, vol. 29, no. 4, January 1, 1987, pages 211-216, XP002063424.
6) <i>YB</i>	Johnson, D., "Converting PC GUIs for Non PC Devices", Circuit Cellar Ink, Vernon, CT, vol. 91, February 1998, pages 40-42, 44-45, XP000852859.
7) <i>YB</i>	Jones, G. et al., "Web-based Messaging Management Using Java Servlets", Integrated Network Management VI. Distributed Management for the Networked Millennium. Proceedings of IM'99 6th IFIP/IEEE International Symposium, (CAT. No. 99EX302), Piscataway, NJ, pages 19-33, XP002166299.

A PTO form 1449 listing these documents is enclosed.

Enclosed, as Exhibit A, is a copy of a first European Search Report for the related Foreign Application EP 00 10 0740 upon which the instant U.S. application claims priority.

Further, enclosed, as Exhibit B, is a copy of a second European Search Report for a related Foreign Application No. EP 01 10 0132 based on the instant U.S. application.



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Monterey, CA
May 8, 2003

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INFORMATION DISCLOSURE STATEMENT UNDER §1.97(b)

Sir:

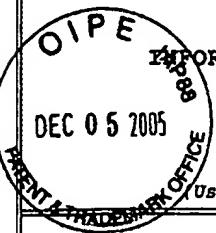
Pursuant to 37 C.F.R. §§ 1.56, 1.97 and 1.98, Applicant(s) wish to call the following documents (a copy of each is enclosed) to the attention of the Examiner:

U.S. PATENT DOCUMENTS:

	DOCUMENT NUMBER	DATE	NAME
1)	5,727,159	03/10/98	Kikinis <i>YB</i>
2)	5,809,415	09/15/98	Rossmann <i>YB</i>
3)	2001/0039540 A1	11/08/01	Hoffmann et al. <i>YKS</i>

FOREIGN DOCUMENTS:

	DOCUMENT NUMBER	DATE	COUNTRY
1)	WO 96 37817 A1	11/28/96	PCT <i>C YB</i>

<p>Form PTO-1449  INFORMATION DISCLOSURE CITATION IN AN APPLICATION Use several sheets if necessary)</p>				Atty Docket No.		Serial No.		
				P-4592		09/759,744		
				Applicant(s)		Ralf Hofmann et al.		
				Filing Date		Group		
January 12, 2001		2153						
U. S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA							
	AB							
	AC							
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FOREIGN PATENT DOCUMENTS								
							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>YB</i>	AR	Zeiger, Stefan, "Servlet Essentials", 11/4/1999, http://www.novocode.com/doc/servlet-essentials/ .						
	AS							
	AT							
Examiner		Date Considered <i>21/11/2006</i>						
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).								

Form PTO-1449 O I P E INFORMATION DISCLOSURE CITATION IN AN APPLICATION SEP 23 2004 PATENT & TRADEMARK OFFICE (Use several sheets if necessary)				Atty Docket No. P-4592	Serial No. 09/759, 744			
				Applicant(s) Ralf Hofmann et al.				
				Filing Date January 12, 2001	Group 2153			
U.S. PATENT DOCUMENTS								
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
	AA	6,012,098	1/4/2000	Bayeh et al.	709	246		
	AB	2002/0059344	5/16/2002	Britton et al.	707	513		
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							
	AI							
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	AK							
FOREIGN PATENT DOCUMENTS								
							Translation	
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	YES	NO
	AL							
	AM							
	AN							
	AO							
	AP							
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)								
<i>YB</i>	AR	"Announcement: SAX - Simple API for XML (1998-01-12 Draft)", pg. 1, http://www.oasis-open.org/cover/SAXAnn980112.html .						
<i>YS</i>	AS	Garshol, L., "Free XML Software", December 15, 1999, pp. 1-53, http://horizon.nserl.purdue.edu/XML/XMLtools.html .						
<i>YS</i>	AT	"New Saxon Release (3.03)", September 1, 1998, pp. 1-2, http://xml.coverpages.org/saxon980901.html .						
Examiner <i>[Signature]</i>	Date Considered	<i>2-14-2008</i>						
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant(s).								

Form PTO-1449 O I P E INFORMATION DISCLOSURE CITATION IN AN APPLICATION SEP 13 2004 U.S. PATENT & TRADEMARK OFFICE (use several sheets if necessary)		Atty Docket No. P-4592	Serial No. 09/759,744
		Applicant(s) Ralf Hofmann et al.	
		Filing Date January 12, 2001	Group 2153

U. S. PATENT DOCUMENTS

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	AA						
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	AL								
	AM								
	AN								
	AO								
	AP								

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

4B	AR	St. Laurent, S., "Toward a Layered Model for XML," (C) 1999, pp. 1-17, http://www.simonstl.com/articles/layering/layered.htm .
4S	AS	Wallace, M. and Runciman, C., "Haskell and XML: Generic Combinators or Type-Based Translation?" ICFP'99 9/99, ACM, pp. 148-159.
4S	AT	"XT extension mechanism", January 2, 1999, pp. 1-2, http://www.oasis-open.org/cover/xt-extension199901.html .

Examiner  Date Considered

02-14 - 2006

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